

09/24/01
j1003 U.S. PTO

S&H Form: PTO/SB/05 (2/01)

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|---|--|---|
| UTILITY PATENT APPLICATION | | Attorney Docket No. 826.1752 |
| TRANSMITTAL | | <i>First Named Inventor or Application Identifier:</i> Hiroshi TSUDA, et al. |
| (Only for original applications) | | <i>Express Mail Label No.</i> |
| APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i> | | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form</p> <p>2. <input checked="" type="checkbox"/> Specification, Claims & Abstract[Total Pages: 105]</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113)[Total Sheets: 28] [FIGS. 1-28]</p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration[Total Pages: 3]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))</p> <p>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> Verified Statement Claiming Small Entity Status</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 C.F.R. 1.76</p> <p>7. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (<i>if applicable, all necessary</i>)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>II. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p> | | |
| ACCOMPANYING APPLICATION PARTS | | |
| 9. <input checked="" type="checkbox"/> Assignment (cover sheet & document(s)) to Fujitsu Limited of Kawasaki, Japan | | |
| <input checked="" type="checkbox"/> for publication of assignee information under 37 CFR 1.215(b) | | |
| 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (<i>when there is an assignee</i>) <input type="checkbox"/> Power of Attorney | | |
| 11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>) | | |
| 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations | | |
| 13. <input type="checkbox"/> Preliminary Amendment | | |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (<i>Should be specifically itemized</i>) | | |
| 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (<i>if foreign priority is claimed</i>) | | |
| 16. <input type="checkbox"/> Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent | | |
| 17. <input type="checkbox"/> Other: | | |
| 18. CORRESPONDENCE ADDRESS | | |
|  21171 PATENT TRADEMARK OFFICE | | |

| NEW APPLICATION FEE TRANSMITTAL | | Attorney Docket No. | 826.1752 | | |
|---|--|----------------------|-----------------------|-------------------------------|------------------|
| | | Application Number | | | |
| | | Filing Date | September 21, 2001 | | |
| AMOUNT ENCLOSED | \$ 1364.00 | First Named Inventor | Hiroshi TSUDA, et al. | | |
| FEES CALCULATION (fees effective 10/01/00) | | | | | |
| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
| | TOTAL CLAIMS | 23 - 20 = | 3 | X \$ 18.00 = | \$ 54.00 |
| | INDEPENDENT CLAIMS | 10 - 3 = | 7 | X \$ 80.00 = | 560.00 |
| | MULTIPLE DEPENDENT CLAIMS (any number; if applicable) | | | + \$270.00 = | |
| | | | | BASIC FILING FEE | 710.00 |
| | | | | Total of above Calculations = | \$ 1324.00 |
| | Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00) | | | | |
| | Reduction by 50% for filing by small entity (37 CFR 1.27). | | | | |
| | | | | TOTAL FILING FEE = | \$ 1324.00 |
| | Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d)) | | | | |
| | Recordation of Assignment (\$40.00; 37 CFR 1.21(h)) | | | | 40.00 |
| | | | TOTAL FEES DUE = | \$ 1364.00 | |
| METHOD OF PAYMENT | | | | | |
| <input checked="" type="checkbox"/> Check enclosed as payment. <input type="checkbox"/> Charge "TOTAL FEES DUE" to the Deposit Account No. below. <input type="checkbox"/> No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date). | | | | | |
| GENERAL AUTHORIZATION | | | | | |
| <input checked="" type="checkbox"/> If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to: | | | | | |
| Deposit Account No. | | 19-3935 | | | |
| Deposit Account Name | | STAAS & HALSEY LLP | | | |
| <input checked="" type="checkbox"/> The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application. | | | | | |
| SUBMITTED BY: STAAS & HALSEY LLP | | | | | |
| Typed Name | James D. Halsey, Jr. | | Reg. No. | 22,729 | |
| Signature | | | Date | September 21, 2001 | |

Attorney Docket No. 826.1752

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Hiroshi TSUDA, et al.

Application No.:

Group Art Unit:

Filed: September 21, 2001

Examiner:

For: MERCHANTISE MANAGEMENT METHOD, MERCHANTISE RECOMMENDATION
METHOD, AND COMPUTER PROGRAM THEREFOR

J1000 U.S. PRO
09/960300
09/24/01



**SUBMISSION OF CERTIFIED COPY OF PRIOR FOREIGN
APPLICATION IN ACCORDANCE
WITH THE REQUIREMENTS OF 37 C.F.R. §1.55**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. §1.55, the applicant(s) submit(s) herewith
a certified copy of the following foreign application:

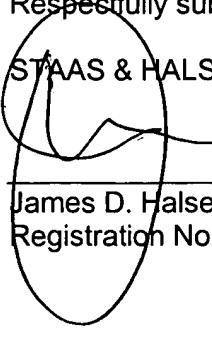
Japanese Patent Application No. 2001-120093

Filed: April 18, 2001

It is respectfully requested that the applicant(s) be given the benefit of the foreign filing
date(s) as evidenced by the certified papers attached hereto, in accordance with the
requirements of 35 U.S.C. §119.

Date: September 21, 2001

By:

Respectfully submitted,

STAAS & HALSEY LLP
James D. Halsey, Jr.
Registration No. 22,729

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